### Context-free grammars and syntactic analysis

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- The largest phrase is the **sentence**
- ▶ We use formal grammars to describe these phrasal arrangements
- The formal grammatical description of a sentence gives us considerable inroads into understanding its meaning

A context-free grammar (CFG) consists of a tuple (N, V, S, R) such that:

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A derivation tree T is the history of those rule applications.

Let our grammar (the rule-set R) be

 $S \rightarrow NP VP$   $NP \rightarrow Det N$   $NP \rightarrow NP PP$   $PP \rightarrow P NP$  $VP \rightarrow V$ 

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  ightarrow \mathsf{the}$
- $\mathsf{N} \to \mathsf{dog}$
- $N \rightarrow cat$
- $P \rightarrow near$
- $V \ \rightarrow \text{growled}$

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$NP{\rightarrow}Det~N$	$N \ \to dog$
$NP \rightarrow NP PP$	$N \ \to cat$
$PP \rightarrow P NP$	$P \rightarrow near$
$VP \rightarrow V$	$V \to growled$

The nonterminal set N is  $\{S, NP, VP, Det, N, P, V\}$ , the terminal set V is  $\{the, dog, cat, near, growled\}$ , and our start symbol S is S.

$S \rightarrow NP VP$	$Det{}{}{}{}{}{}{}{}{}{}{}{}{}{}{}{}{}{}{$
$NP \rightarrow Det N$	$N \ \to dog$
$NP \rightarrow NP PP$	$N \ \to cat$
$PP \rightarrow P NP$	$P \ \rightarrow near$
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Here is a *derivation* and the resulting *derivation tree*:

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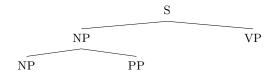
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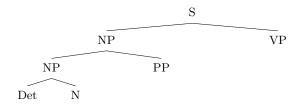
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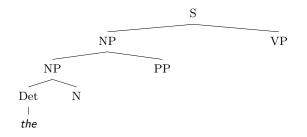
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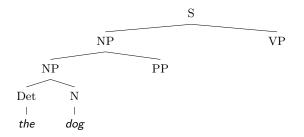
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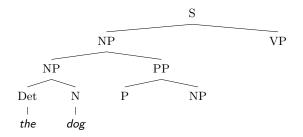
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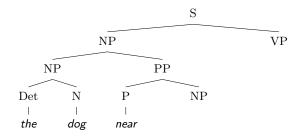
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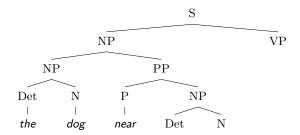
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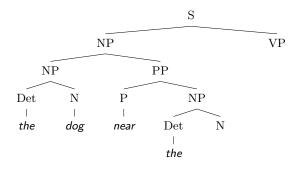
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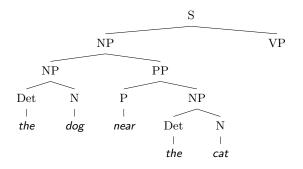
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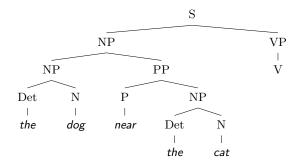
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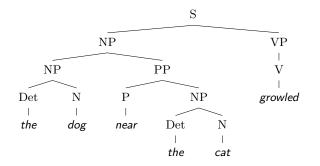
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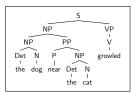
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- How many strings does it produce?
- How many trees does it produce?
- Is it ambiguous? (in the general case, this problem is known to be UNDECIDABLE; Hopcroft & Ullman, 1979, Sima'an, 2002)

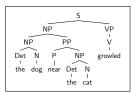
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The nodes in the derivation tree offer a structural description of the syntax of the generated string

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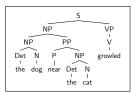
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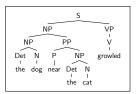
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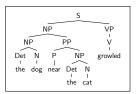
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  - Syntactic regularities are theoretically stated in terms of these structural descriptions

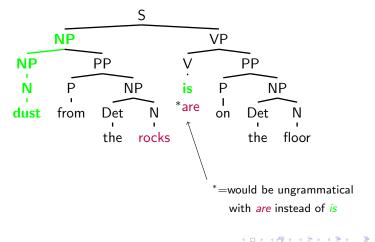
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- The nodes in the derivation tree offer a structural description of the syntax of the generated string
- In generative grammar, this structural description is the phrase structure of the strings
- These structural descriptions play a role in the theory of linguistic structure and meaning:
  - Syntactic regularities are theoretically stated in terms of these structural descriptions
  - Within the broader theory of linguistic structure, phrase structure also interfaces with other levels of representation, such as prosody and meaning composition

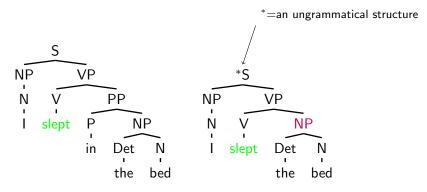
Syntactic regularities: subjects and subject-verb agreement

The subject of an English clause is the NP left sister of the VP. The head word of a (non-coordinated) subject determines person+number agreement for the verb.



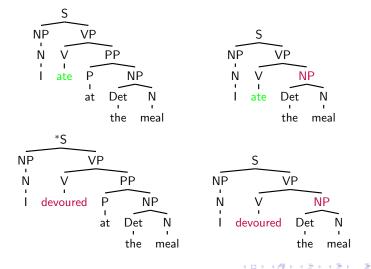
Syntactic regularities: argument structure

Verbs differ in their argument structure, which constrains the English subject and children of the VP.



# Syntactic regularities: argument structure

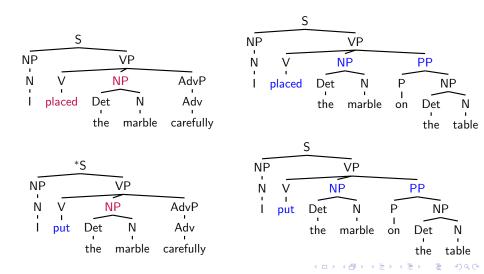
Argument structure requirements are defined on syntactic categories, and are correlated with but not fully predictable from verb semantics:



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### Syntactic regularities: argument structure

Argument structure requirements can be very verb-specific. For example, in English, *put* is the only verb that *requires* an explicit location argument:



Agreement, argument structure, and other constraints can be built into context-free grammars by adding **features** to phrasal categories, e.g.:

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Agreement, argument structure, and other constraints can be built into context-free grammars by adding **features** to phrasal categories, e.g.:

Unlike arguments, adjuncts like recently, with abandon, and at school can combine very freely with verbs, so we also need rules like:

$$\begin{array}{l} \mathsf{VP} {\rightarrow} \mathsf{V}_{\langle\rangle} \ \mathsf{PP} \\ \mathsf{VP} {\rightarrow} \mathsf{V}_{\langle\mathsf{NP}\rangle} \ \mathsf{NP} \ \mathsf{AdvP} \\ \mathsf{VP} {\rightarrow} \mathsf{V}_{\langle\mathsf{NP},\mathsf{PP}\rangle} \ \mathsf{NP} \ \mathsf{PP} \ \mathsf{AdvP} \end{array}$$

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 (Note: it's widely agreed that there is *some* distinction between arguments and adjuncts, and many cases are clear, but some other cases are harder to classify!)

Agreement, argument structure, and other constraints can be built into context-free grammars by adding **features** to phrasal categories, e.g.:

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- (Note: it's widely agreed that there is *some* distinction between arguments and adjuncts, and many cases are clear, but some other cases are harder to classify!)
- Recommended exercise: work out how to use features to start build agreement constraints into a context-free grammar for English

# Syntax and meaning composition

$S \to NP \; VP$	$NP \rightarrow NP PP$	$VP \rightarrow V$	$VP \rightarrow V PP$
$NP{\rightarrow}Det~N$	$PP \rightarrow P NP$	$VP \rightarrow V NP$	$VP \rightarrow V NP PP$

Principle of compositionality: the meaning of a complex expression derives from the meanings of the parts and the rules for combination

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# Syntax and meaning composition

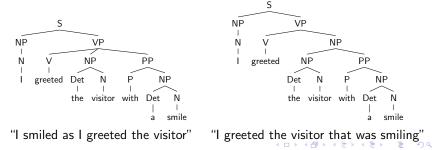
$S \ \rightarrow NP \ VP$	$NP \rightarrow NP PP$	$VP \rightarrow V$	$VP \rightarrow V PP$
$NP{\rightarrow}Det~N$	$PP \rightarrow P NP$	$VP \rightarrow V NP$	$VP \rightarrow V NP PP$

- Principle of compositionality: the meaning of a complex expression derives from the meanings of the parts and the rules for combination
- Relation with syntax: for the most part (and possibly entirely!), meaning composition occurs via the hierarchy of the syntactic tree.

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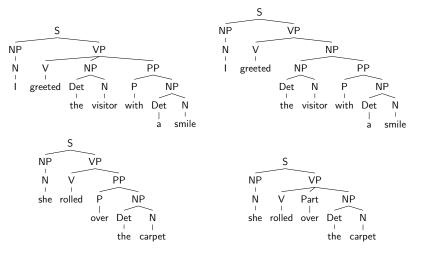
# Syntax and meaning composition

- Principle of compositionality: the meaning of a complex expression derives from the meanings of the parts and the rules for combination
- Relation with syntax: for the most part (and possibly entirely!), meaning composition occurs via the hierarchy of the syntactic tree.
- This means that syntax immediately gives us an account of many meaning ambiguities. For example:



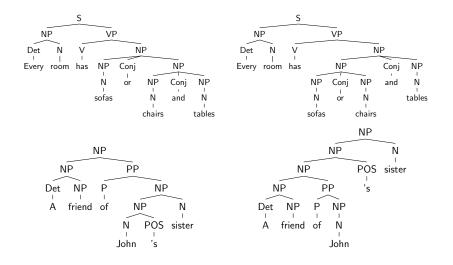
## The phrase structure-prosody interface

Phrasal boundaries (NP, VP, PP) often correspond with prosodic breaks (Lehiste et al., 1976):



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### The phrase structure-prosody interface



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We previously identified several problem cases in English syntax for finite-state models, including:

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We previously identified several problem cases in English syntax for finite-state models, including:

- Multiple PP attachment
- a joke a joke about the woman a joke about the woman with an umbrella
- a joke about the woman with an umbrella on the street

We previously identified several problem cases in English syntax for finite-state models, including:

Multiple PP attachment

a joke a joke about the woman a joke about the woman with an umbrella a joke about the woman with an umbrella on the street

#### Nested if/then sentences

if students work hard, then they generally do well in class.

if if students work hard, then they generally do well in class, then the teacher is rewarded. if if if students work hard, then they generally do well in class, then the teacher is rewarded, then the university is well-run.

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We previously identified several problem cases in English syntax for finite-state models, including:

Multiple PP attachment

```
a joke
a joke about the woman
a joke about the woman with an umbrella
a joke about the woman with an umbrella on the street
```

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#### Multiply center-embedded object-extracted relative clauses

the rock can be found in the garden. the rock that the squirrel likes can be found in the garden. the rock that the squirrel that the dog chases likes can be found in the garden. the rock that the squirrel that the dog that the woman owns chases likes can be found in the garden.

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#### We will now revisit each case with context-free grammars

$S \ \rightarrow NP \ VP$	$Det{}{}{}{}{}{}{}{}{}{}{}{}{}{}{}{}{}{}{$
$NP{\rightarrow}Det~N$	$N \ \to dog$
$NP \rightarrow NP PP$	$N \ \rightarrow cat$
$PP \rightarrow P NP$	$P \ \rightarrow near$
$VP \rightarrow V$	$V \ \rightarrow growled$

We just add the required lexical rewrite rules to the grammar we already specified, and we're all set!

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$S \ \rightarrow NP \ VP$	$Det{}{}{}{}{}{}{}{}{}{}{}{}{}{}{}{}{}{}{$
$NP{\rightarrow}Det~N$	$N \ \to dog$
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$S \to NP \; VP$	Det othe	$Det{ o}a$	N  o street
$NP{\rightarrow}Det~N$	$N \ \rightarrow dog$	$Det{ o}an$	
$NP \rightarrow NP PP$	$N \ \rightarrow cat$	N $\rightarrow$ joke	$P \rightarrow about$
$PP \rightarrow P NP$	$P \rightarrow near$	N →woman	$P \rightarrow with$
$VP \rightarrow V$	$V \rightarrow growled$	$N \rightarrow umbrella$	P  ightarrow on

We just add the required lexical rewrite rules to the grammar we already specified, and we're all set!

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$S \to NP \; VP$	Det  o the	$Det{ o}a$	N  o street
$NP{\rightarrow}Det~N$	$N \ \to dog$	$Det{ o}an$	
$NP \rightarrow NP PP$	$N \ \rightarrow cat$	N $\rightarrow$ joke	$P \rightarrow about$
$PP \rightarrow P NP$	$P \rightarrow near$	$N \rightarrow woman$	$P \rightarrow with$
$VP \rightarrow V$	$V \ \rightarrow growled$	$N \rightarrow umbrella$	P  ightarrow on

We just add the required lexical rewrite rules to the grammar we already specified, and we're all set!  $$\sf NP$$ 

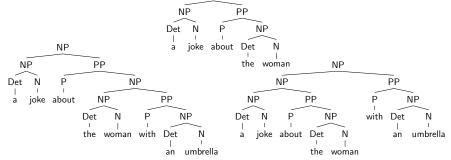


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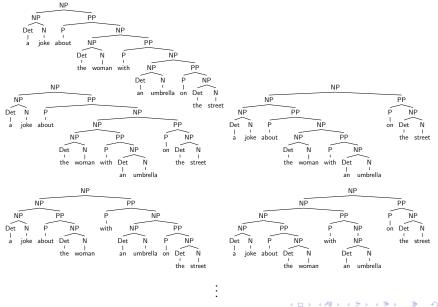
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$S \to NP \; VP$	$Det{}{}{}{}{}{}{}{}{}{}{}{}{}{}{}{}{}{}{$	$Det{ o}a$	N  o street
$NP{\rightarrow}Det~N$	$N \ \rightarrow dog$	$Det{ o}an$	
$NP \rightarrow NP PP$	$N \ \rightarrow cat$	N $\rightarrow$ joke	$P \rightarrow about$
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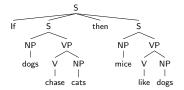


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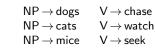
- $S \rightarrow NP VP$  $VP \rightarrow V NP$  $\mathsf{S} \ \rightarrow \mathsf{lf} \ \mathsf{S} \ \mathsf{then} \ \mathsf{S} \qquad \qquad \mathsf{NP} \rightarrow \mathsf{mice} \qquad \mathsf{V} \rightarrow \mathsf{seek}$
- $\mathsf{NP} \mathop{\rightarrow} \mathsf{dogs} \qquad \mathsf{V} \mathop{\rightarrow} \mathsf{chase}$  $NP \rightarrow cats V \rightarrow watch$

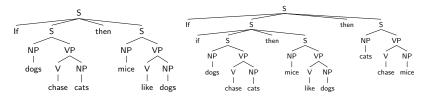
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 $\begin{array}{ll} S & \rightarrow NP \ VP \\ VP \rightarrow V \ NP \\ S & \rightarrow If \ S \ then \ S \end{array}$ 





 $S \rightarrow NP VP$  $\mathsf{NP} \rightarrow \mathsf{dogs}$  $V \rightarrow chase$  $VP \rightarrow V NP$  $NP \rightarrow cats$   $V \rightarrow watch$  $S \rightarrow If S then S$  $NP \rightarrow mice$  $V \rightarrow seek$ S S ś If then S lf S then then if S NP VP NP VP NP VP NP VP NP V/P cats NP dogs NP mice NP dogs NP mice NP chase mice dogs chase cats like chase cats like dogs ś lf then s then NP then NP mice NP NP cats seek dogs dogs mice chase mice chase cats like dogs

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