

9.19 Computational Psycholinguistics

Basic semantics

November 14, 2023

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Introduction, and some technical background

From syntax to semantics

- In previous classes we have seen how to build syntax trees from strings of words.
 - The trees aimed to capture notions such as **constituency** (e.g. the fact that a transitive verb forms a “chunk” with its object, but not with its subject), and **thematic roles** assigned by a verb to its arguments (e.g. the OBJECT, THEME, GOAL...).
 - We also saw that some trees were **structurally ambiguous** (*John saw the girl with binoculars*).
- Now, we'd like to define a way to systematically compute the logical meaning of a given sentence, given its syntax tree. In other words, we'd like to define a **mapping between trees and first-order logic**.
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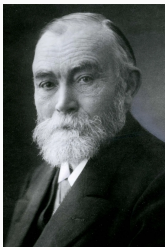
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- To devise a consistent mapping between syntax and semantics, we exploit the following idea which dates back (at least) from **Gottlob Frege** (1884):

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the meaning (=denotation) of a complex expression is determined by its **structure** and **the meanings of its constituents**.

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- Montague's thesis was that natural languages and formal languages (in particular programming languages) can be treated in the same way.



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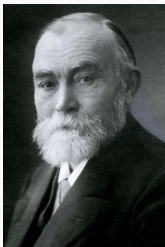
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- **Idiom chunks** (e.g. *kick the bucket*), **logical metonymy** (e.g. *John began the book*) [Pustejovsky, 1995]
- Context-sensitive elements, such as **indexicals** (*I, you, here, now...*), **gradable adjectives** (e.g. *tall*), **subjective predicates** (e.g. *yummy*).
- Proper names vs. definite descriptions under **belief verbs**:
 - (2) *Context: Ralph saw Ortcutt at the beach and believes the man he saw is a spy. But Ralph did not realize the man was actually Ortcutt.*
Ralph **believes** the man at the beach is a spy.
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Is language really compositional? continued

- **Bracketing paradoxes:** *unhappier* is parsed [un-[happi-er]] (-er cannot attach to a 2-syllable adjective!), yet means *more unhappy* [Allen, 1978].
- **Weakened/strengthened** modals/logical operators:
 - (5) Minimal Sufficiency readings [von Stechow and Iatridou, 2007]:
To get good cheese you **only have to** go to the North End.
↪ You **don't have to** go to the North End (but it's the easiest option).
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A detour through λ -calculus and types

- To compose meanings together, we will need functions.
- λ -calculus can be seen as a compact way of writing and applying functions. λ -terms can take 3 forms (inductive definition):
 - a **variable** x ;
 - a **function** $(\lambda x. M)$ where x is a bound variable and M is a term;
 - an **application** $M(N)$ where both M and N are terms.
- If x has type α (written " $x : \alpha$ ") and M type β , then the term $(\lambda x. M)$ has type $\alpha \rightarrow \beta$. It's a function which, given an $x : \alpha$, returns a term $M : \beta$ that usually depends on x . Note that both x and M can be functions themselves.
- Lambda-terms terms can be "reduced" using the following operation (assuming the types are right):

$$(\lambda x. M)(y) = M[y/x]$$

- Meaning: applying the function $(\lambda x. M)$ to an input y amounts to substituting any occurrence of the bound variable x in M by the input y .

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A few examples of λ -terms

- The “add 10” function (=partial application of the “sum” function):

$$(\lambda x. \lambda y. x + y)(10) = (\lambda y. x + y)[10/x] = (\lambda y. 10 + y)$$

- Adding 10 to 5 (=total application of the sum function):

$$(\lambda y. 10 + y)(5) = (10 + y)[5/y] = 10 + 5 = 15$$

- Testing if 10 is prime (a Boolean function):

$$(\lambda x. \mathbf{isprime}(x))(10) = (\mathbf{isprime}(x))[10/x] = \mathbf{isprime}(10) = \perp$$

- Negating the “prime” function (notice that we renamed the bound variable in the input term into “y” to avoid variable capture):

$$\begin{aligned}(\lambda P. \lambda x. \neg P(x))(\lambda x. \mathbf{isprime}(x)) &= (\lambda x. \neg P(x))[(\lambda y. \mathbf{isprime}(y))/P] \\ &= (\lambda x. \neg(\lambda y. \mathbf{isprime}(y))(x)) \\ &= (\lambda x. \neg \mathbf{isprime}(y)[x/y])\end{aligned}$$

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Deriving the meaning of simple sentences

Denotation of sentences

- We assume that whole sentences are defined by the conditions under which they are true (**truth conditions**).
- Note that this is slightly different from a simple Boolean value (0 or 1). For instance, the meaning of *a cat is on the mat* is not always 0 or 1; rather, it will evaluate to 1 **iff** there exists something that's a cat that is located on the unique salient mat; and 0 otherwise.
- We call the type of sentences (i.e. elements with truth conditions) t . We use the double-bracket notation ($\llbracket \cdot \rrbracket$) to indicate the meaning (=denotation) of a given string.

$$\llbracket \text{a cat is on the}^1 \text{ mat} \rrbracket = \begin{cases} 1 & \text{if } \exists x. \text{cat}(x) \wedge \exists! y. \text{mat}(y) \wedge \text{on}(x)(y) \\ 0 & \text{otherwise} \end{cases}$$

¹The meaning of *the* is more complex than what we do here: *the* should *presuppose* the existence and the uniqueness of a mat. This is just to get a rough idea of a possible denotation of the sentence.

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Denotation of terminals

- How to properly *compute* the meaning of sentences like *a cat is on the mat*? Principle of Compositionality: **from the meaning of the terminals** and how they merge in the tree.
- We assume that each terminal of the tree can be mapped to a lexical “meaning”. For instance:
 - **Proper names** refer to fixed entities (\sim constants) belonging to a certain domain D . We call e the type of entities.
 - **Predicates** (*happy, teacher...*) or verbs (*like, jump...*) are functions mapping one or more entities (type e) to truth values (type t).

$$\llbracket \textit{happy} \rrbracket : e \rightarrow t \qquad \llbracket \textit{happy} \rrbracket = \lambda x. \mathbf{happy}(x)$$

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- Some special terminals (“traces” / pronouns) may denote bound variables or type e .
- We keep determiners for later.
- Now let’s try to combine all those things together!

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Denotation of terminals

- How to properly *compute* the meaning of sentences like *a cat is on the mat*? Principle of Compositionality: **from the meaning of the terminals** and how they merge in the tree.
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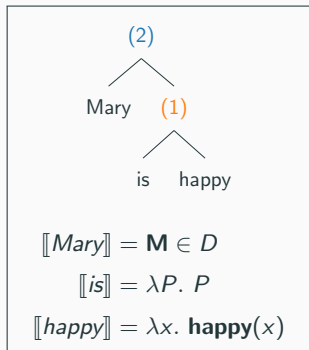
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Functional Application



- Compositionality, again: the meaning of *Mary is happy* depends on the meanings of *Mary*, *is*, and *happy*, and how they combine together.
- To combine 2 nodes together, we introduce the rule of **Functional Application (FA)**:

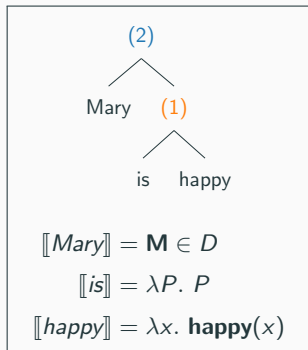
If $X : \alpha$ merges with $Y : \alpha \rightarrow \beta$,
then $\llbracket Y X \rrbracket^2 = Y(X)$.

$$\llbracket (1) \rrbracket = \llbracket \text{is happy} \rrbracket \stackrel{FA}{=} \llbracket \text{is} \rrbracket (\llbracket \text{happy} \rrbracket) = \llbracket \text{happy} \rrbracket = \lambda x. \mathbf{happy}(x)$$

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²We assume X and Y are unordered here; i.e. FA also works if X comes before Y.

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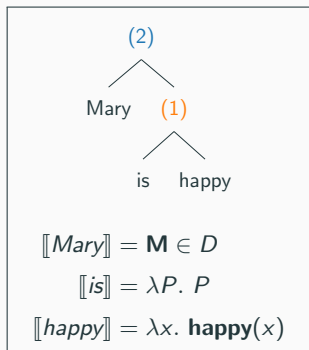
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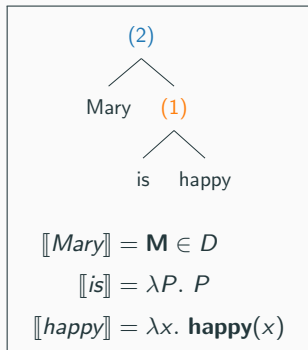
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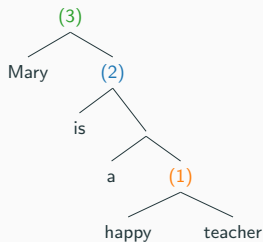
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Predicate Modification



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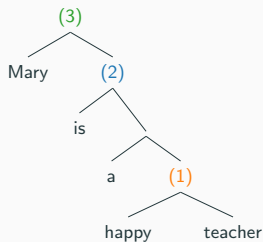
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³Food for thought: does the sentence really mean that Mary is happy, and is a teacher? Or does it rather mean that Mary is happy, *for a teacher*?

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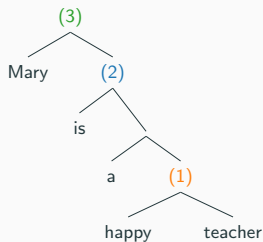
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Taking stock

- What you might think at this point: we started with a string saying “Mary is happy” and ended up with the meaning that “Mary is happy” ...well that’s not so impressive.
- First, we should keep in mind that the 2 “Mary is happy” are in different *languages*.
 - The **object language** (the one used in the string/nodes in the tree) is the one that is to be *interpreted*. It could be English, French, or Klingon.
 - The **meta-language** (the one used in the semantic denotation of the sentence) is the language used to *describe* the object language. It is logical in nature, although it often gets paraphrased using English, for convenience only.
- Second, our enterprise was not entirely vacuous in that we devised a simple tree-interpretation algorithm to convert (ideally) **any string from the object-language into the meta-language**.
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Quantification

Generalized quantifiers

- Natural languages are endowed with various quantifiers: *every*, *some*, *most*, *few*...
 - (9) Every student smiled. $\rightsquigarrow \forall x. \mathbf{student}(x) \implies \mathbf{smiled}(x)$
 - (10) Some dogs barked. $\rightsquigarrow \exists x. \mathbf{dog}(x) \wedge \mathbf{barked}(x)$
- Natural language quantifiers are *restricted*: they do not quantify over the whole set of possible entities, but rather on specific subsets denoted by predicates of type $e \rightarrow t$ such as **student** in (9) and **dogs** in (10). Those are called **restrictors**.
- Quantifiers moreover relate elements verifying the restrictor to another property, e.g. smiling in (9) or barking in (10). This property, also of type $e \rightarrow t$, is called the **(nuclear) scope** of the quantifier.
- In brief, a **generalized quantifier** says something about the relation between its restrictor (predicate of type $e \rightarrow t$) and its scope (also (predicate of type $e \rightarrow t$)). It is thus a function of type $(e \rightarrow t) \rightarrow (e \rightarrow t) \rightarrow t$

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Interpretation of quantification within set theory

- It might be easier to understand what quantifiers do by **viewing predicates as sets**.
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- For instance, the predicate $\llbracket \textit{teacher} \rrbracket$ is equivalent to the set of all individuals that are teachers.
- Given this equivalence, we can see generalized quantifiers as functions from a pair of sets (restrictor set, nuclear scope set), to a truth value.
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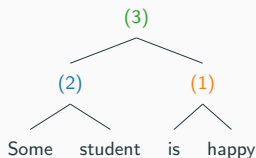
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Denotation of a quantified sentence



$$\llbracket \textit{Some} \rrbracket = \lambda P. \lambda Q. \exists x. P(x) \wedge Q(x)$$

$$\llbracket \textit{student} \rrbracket = \lambda x. \mathbf{student}(x)$$

$$\llbracket \textit{is} \rrbracket = \lambda P. P$$

$$\llbracket \textit{happy} \rrbracket = \lambda x. \mathbf{happy}(x)$$

$$\llbracket (1) \rrbracket = \llbracket \textit{is happy} \rrbracket = \llbracket \textit{happy} \rrbracket = \lambda x. \mathbf{happy}(x)$$

$$\begin{aligned} \llbracket (2) \rrbracket &= \llbracket \textit{Some student} \rrbracket \stackrel{FA}{=} \llbracket \textit{some} \rrbracket (\llbracket \textit{student} \rrbracket) \\ &= (\lambda P. \lambda Q. \exists x. P(x) \wedge Q(x)) (\lambda y. \mathbf{student}(y)) \\ &= \lambda Q. \exists x. (\lambda y. \mathbf{student}(y))(x) \wedge Q(x) \\ &= \lambda Q. \exists x. \mathbf{student}(x) \wedge Q(x) \end{aligned}$$

$$\begin{aligned} \llbracket (3) \rrbracket &= \llbracket \textit{Some student is happy} \rrbracket \stackrel{FA}{=} \llbracket \textit{Some student} \rrbracket (\llbracket \textit{is happy} \rrbracket) \\ &= (\lambda Q. \exists x. \mathbf{student}(x) \wedge Q(x)) (\lambda y. \mathbf{happy}(y)) \\ &= \exists x. \mathbf{student}(x) \wedge (\lambda y. \mathbf{happy}(y))(x) \\ &= \exists x. \mathbf{student}(x) \wedge \mathbf{happy}(x)^4 \end{aligned}$$

⁴Food for thought: this meaning is compatible with *all* (\forall) students being happy. Is this consistent with your intuitions about *some*? Should we then change the lexical entry of *some*?

Quantifier monotonicity

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Quantifier monotonicity: the case of all (\forall)

- Let's consider $\llbracket all\ students \rrbracket = \lambda P. \forall x. \mathbf{student}(x) \Rightarrow P(x)$. It is the quantifier *all* partially applied to its restrictor (the set of students). Is it monotone w.r.t. its nuclear scope argument?
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 - Let's consider $P_1 = \lambda x. \mathbf{french}(x)$ and $P_2 = \lambda x. \mathbf{european}(x)$. We have $P_1 \subseteq P_2$.
 - Moreover, if all students are French then all students are European, in other words, $\llbracket all\ students \rrbracket(P_1) \Rightarrow \llbracket all\ students \rrbracket(P_2)$.
 - **All is upward monotone w.r.t. its nuclear scope.**
- Let's consider $\lambda P. \llbracket all \rrbracket(P)(\llbracket delicious \rrbracket) = \lambda P. \forall x. P(x) \Rightarrow \mathbf{delicious}(x)$. It is the quantifier *all* partially applied to its nuclear scope (the set of delicious things). Is it monotone w.r.t. its restrictor?
 - Let's consider $P_1 = \lambda x. \mathbf{choco-cookie}(x)$ and $P_2 = \lambda x. \mathbf{cookie}(x)$. We have $P_1 \subseteq P_2$.
 - Moreover, if every cookie is delicious then every choco-cookie is too, in other words, $\llbracket all \rrbracket(P_1)(\llbracket delicious \rrbracket) \Leftarrow \llbracket all \rrbracket(P_2)(\llbracket delicious \rrbracket)$.
 - **All is downward monotone w.r.t. its restrictor.**

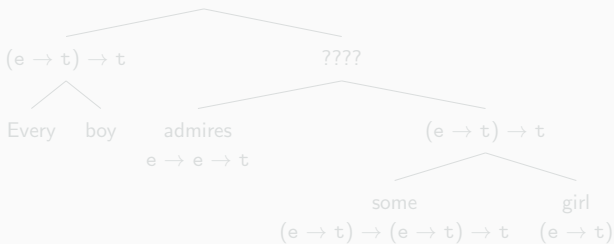
Bonus: quantification in object position, and scope ambiguity

A case of semantic ambiguity

- The sentence:

(17) Every boy admires some girl.

- Has 2 readings: one in which each boy admires a different girl (“ $\forall > \exists$ ”), and one in which there is a single girl s.t. each boy admires her (“ $\exists > \forall$ ”). How to derive those 2 readings?
- First problem: there is no obvious way of combining the quantified NP *some girl* in the object position to the 2-place predicate *admire*: **type-mismatch!**



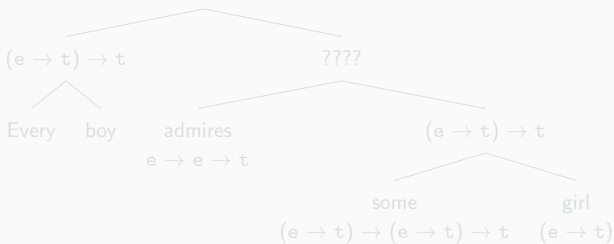
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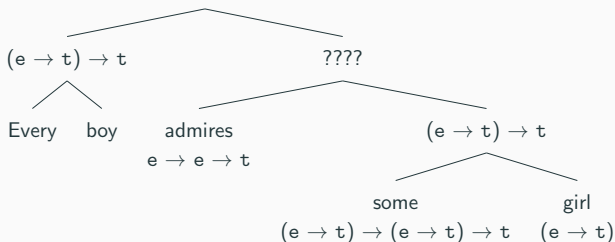


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- The sentence:

(19) Every boy admires some girl.
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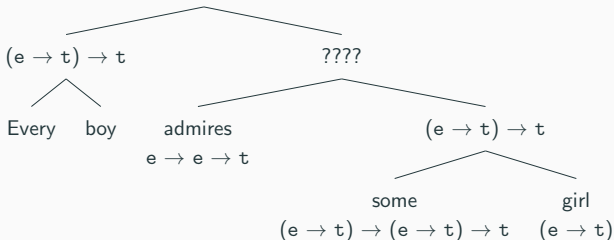
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A case of semantic ambiguity

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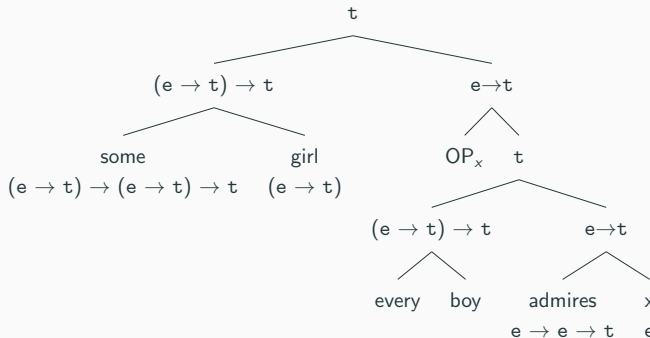
(20) Every boy admires some girl.

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Resolving type-mismatch, and deriving the $\exists > \forall$ reading

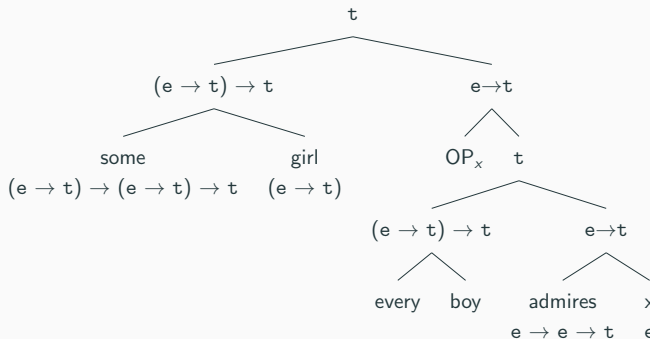


- To resolve the type-mismatch, we moved the quantified NP *some girl* to the top of the tree, and replaced its “trace” by an e-type variable x . We also introduced a λ -abductor OP_x binding x and changing its input sentence back into a predicate (**type shifting**):⁵

$$[[OP_x]] = \lambda S. \lambda x. S$$

⁵This is a very simplified account of binding. A proper account would involve indices and assignment functions.

Resolving type-mismatch, and deriving the $\exists > \forall$ reading

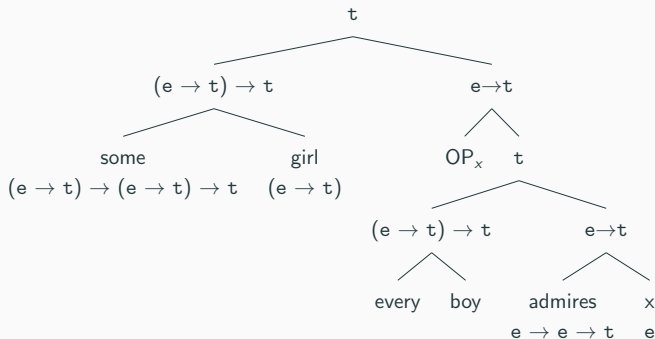


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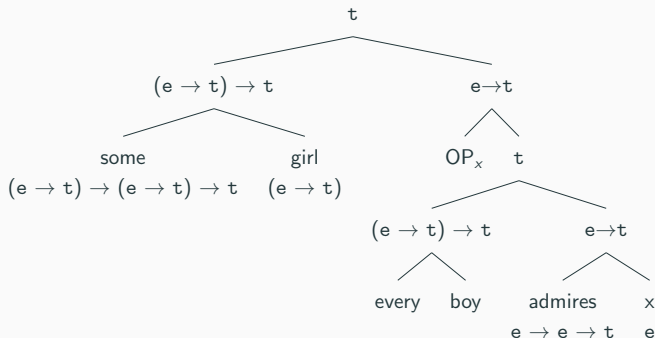
$\llbracket \text{every boy admires } x \rrbracket = 1$ iff $\forall y. \mathbf{boy}(y) \Rightarrow \mathbf{admire}(y)(x)$

$\llbracket OP_x \text{ every boy admires } x \rrbracket = \lambda x. \forall y. \mathbf{boy}(y) \Rightarrow \mathbf{admire}(y)(x)$

$\llbracket \text{Some girl ... admires } x \rrbracket = 1$ iff $\exists z. \mathbf{girl}(z) \wedge (\lambda x. \forall y. \mathbf{boy}(y) \Rightarrow \mathbf{admire}(y)(x))(z)$
 $= 1$ iff $\exists z. \mathbf{girl}(z) \wedge \forall y. \mathbf{boy}(y) \Rightarrow \mathbf{admire}(y)(z)$

- That is the reading according to which there is one girl that every boy admires. To get the other reading, we need to do one more thing.

Resolving type-mismatch, and deriving the $\exists > \forall$ reading



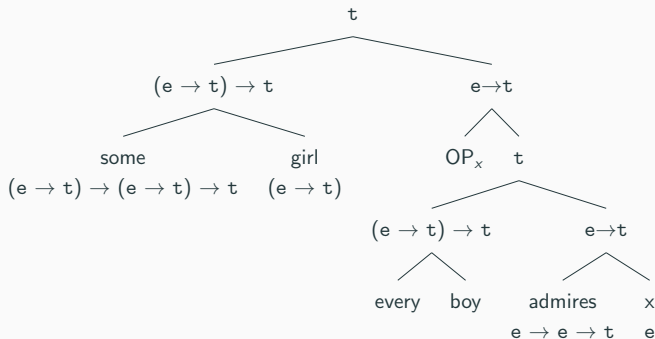
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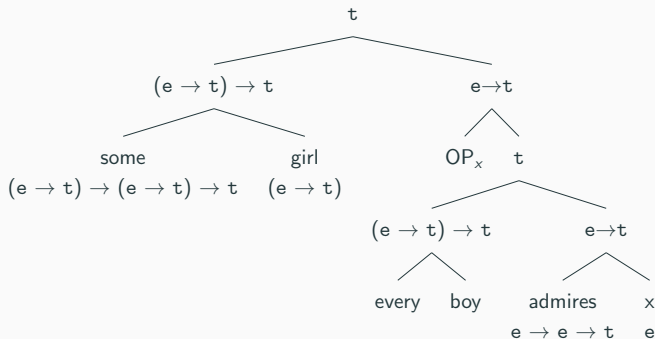
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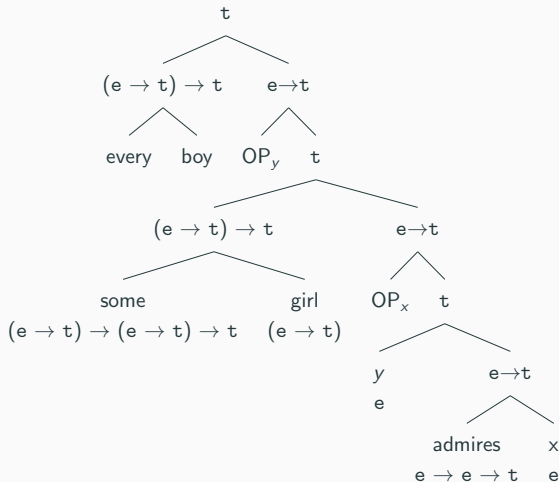
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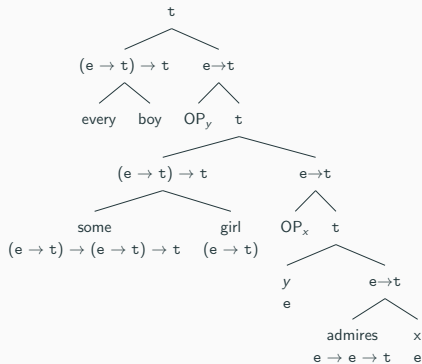
- That is the reading according to which there is one girl that every boy admires. To get the other reading, we need to do one more thing.

Resolving type-mismatch, and deriving the $\forall > \exists$ reading



- We have now moved *every boy* to the top of the tree (above *some girl*) and replaced its “trace” by an e-type variable y bound by an abstractor OP_y ...

Quantification in object position: the $\forall > \exists$ reading



$\llbracket y \text{ admires } x \rrbracket = 1$ iff $\text{admires}(y)(x)$

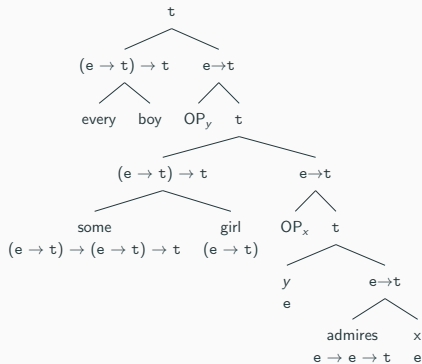
$\llbracket \text{OP}_x y \text{ admires } x \rrbracket = \lambda x. \text{admires}(y)(x)$

$\llbracket \text{some girl} \dots \text{admires } x \rrbracket = 1$ iff $\exists x. \text{girl}(x) \wedge \text{admires}(y)(x)$

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Quantification in object position: the $\forall > \exists$ reading



$\llbracket y \text{ admires } x \rrbracket = 1$ iff **admires**(y)(x)

$\llbracket OP_x y \text{ admires } x \rrbracket = \lambda x. \mathbf{admires}(y)(x)$

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Take away

- We derived the desired semantic scope ambiguity by moving the quantified NPs to the top of the tree. This is known as **quantifier raising** (QR). Semantic ambiguity was thus cashed out as some form of structural ambiguity in the tree.
- This might sound fishy, especially given that this kind of movement is not *audible*, and that the quantified NPs do not have the same type as their traces ($(e \rightarrow t) \rightarrow t$ vs. e).
- However, recall QR was originally motivated by a type issue posed by the quantifier *some girl* interpreted in the object position.
- There might be other solutions to this puzzle, in particular solutions making use of covert type-shifting operators instead of movement. But the analysis we gave here is widely accepted and remains relatively tractable.

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